

# Interpolation systems for ground proofs

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## Motivation

Interpolation for propositional resolution

Interpolation and equality

Interpolation for equality sharing and DPLL(T)

Interpolation for ground superposition

# What is interpolation?

- ▶ Formulæ  $A$  and  $B$  such that  $A \vdash B$
- ▶ An **interpolant**  $I$  is a formula that lies **between**  $A$  and  $B$ :
  - ▶ **Derivability**:  $A \vdash I$  and  $I \vdash B$
  - ▶ **Signature**:  $I$  made of symbols **common** to  $A$  and  $B$   
where symbol means predicate, function, constant symbol

# Trivial cases

- ▶ All symbols of  $A$  appear in  $B$ : then  $A$  itself is the interpolant
- ▶ All symbols of  $B$  appear in  $A$ : then  $B$  itself is the interpolant
- ▶ Assume that at least one has at least one symbol that does not appear in the other

# Craig's Interpolation Theorem (1957)

Closed formula: all variables are quantified (aka: sentence)

- ▶  $A$  and  $B$  closed formulæ with at least one predicate symbol in common
- ▶ Interpolant  $I$  **exists** and it is also a closed formula
- ▶ No predicate symbol in common: either  $A$  is unsatisfiable and  $I$  is  $\perp$  or  $B$  is valid and  $I$  is  $\top$

Clausal theorem proving:  $A$  and  $B$  are sets of clauses

## Proofs by refutation: reverse interpolant

- ▶  $A$  and  $B$  inconsistent:  $A, B \vdash \perp$
- ▶ Then  $A \vdash I$  and  $B, I \vdash \perp$
- ▶ All symbols in  $I$  common to  $A$  and  $B$

Reverse interpolant of  $(A, B)$ : interpolant of  $(A, \neg B)$

because  $A, B \vdash \perp$  means  $A \vdash \neg B$  and  $B, I \vdash \perp$  means  $I \vdash \neg B$

In refutational settings we say interpolant for reverse interpolant

# Reasoning modulo theory $\mathcal{T}$

- ▶  $\vdash_{\mathcal{T}}$  in place of  $\vdash$
- ▶ All uninterpreted symbols in  $I$  common to  $A$  and  $B$
- ▶ No restrictions on interpreted symbols

## Example in propositional logic

$$A = \{a \vee e, \neg a \vee b, \neg a \vee c\} \quad B = \{\neg b \vee \neg c \vee d, \neg d, \neg e\}$$

1.  $a \vee e$  resolves with  $\neg e$  to yield  $a$
2.  $a$  resolves with  $\neg a \vee c$  to yield  $c$
3.  $a$  resolves with  $\neg a \vee b$  to yield  $b$
4.  $b$  resolves with  $\neg b \vee \neg c \vee d$  to yield  $\neg c \vee d$
5.  $c$  resolves with  $\neg c \vee d$  to yield  $d$
6.  $d$  resolves with  $\neg d$  to yield  $\square$

$$\text{Interpolant } I: (e \vee b) \wedge (e \vee c) \equiv e \vee (b \wedge c)$$

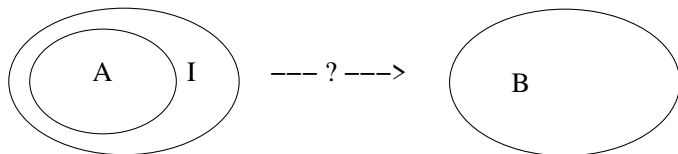


# Why interpolation?

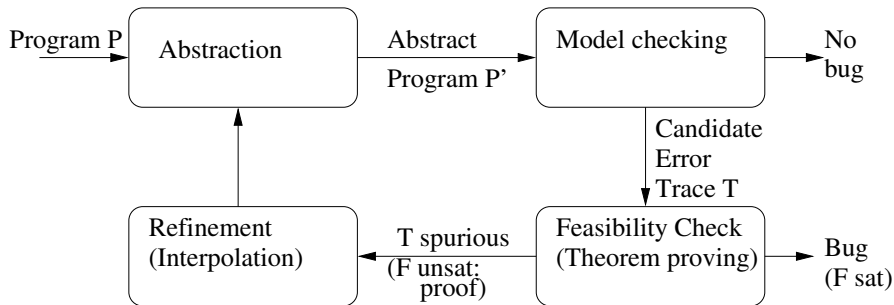
- ▶ Interpolant is a formula **in between** formulæ
- ▶ Formulæ represent **states** that satisfy them
- ▶ States of an automaton, of a transition system, of a program
- ▶ Interpolant may give information on **intermediate** states

# Image computation in model checking

- ▶ Transition system with transition relation
- ▶ Forward reachability: computing **images**
- ▶ Backward reachability: computing **pre-images**
- ▶ Interpolant: **over-approximation** of an image/pre-image
- ▶ Interpolation to accelerate convergence towards fixed point



# Abstraction refinement in software model checking



$F = A \cup B$ ; add predicates from interpolant  $I$  of  $(A, B)$ : exclude  $T$

# Automated invariant generation

- ▶ Loop: *pre* while  $C$  do  $T$  *post*
  - ▶  $\forall s. \text{pre}[s] \supset I(s)$
  - ▶  $\forall s, s'. I(s) \wedge C[s] \wedge T[s, s'] \supset I(s')$
  - ▶  $\forall s. I(s) \wedge \neg C[s] \supset \text{post}(s)$
- ▶ Invariant  $I$  made of symbols common to *pre* and *post*; no symbols local to the loop body  $T$
- ▶  $A$ :  $k$ -unfolding of loop;  $B$ : post-condition violated
- ▶  $A, B \vdash \perp$
- ▶ Interpolant of  $(A, B)$ : candidate invariant

# Several approaches to interpolation

- ▶ Building interpolation into satisfiability procedures (e.g., congruence closure) [Fuchs, Goel, Grundy, Krstić, Tinelli 2012]
- ▶ Locality based [Sofronie-Stokkermans 2008]
- ▶ Via Horn clause reasoning [Gupta, Popeea, Rybalchenko 2011], [Rümmer, Hojjat, Kuncak 2013]
- ▶ Meta-rules based approach [Bruttomesso, Ghilardi, Ranise 2012], [Bruttomesso, Ghilardi, Ranise 2014]
- ▶ **Inductive approach**: by structural induction on the refutation

# Terminology for interpolation: Colors

Uninterpreted symbol:

- ▶ **A-colored**: occurs in  $A$  and not in  $B$
- ▶ **B-colored**: occurs in  $B$  and not in  $A$
- ▶ **Transparent**: occurs in both

Alternative terminology: **A-local**, **B-local**, **global**

# Terminology for interpolation: Colors

Ground term/literal/clause:

- ▶ All transparent symbols: **transparent**
- ▶  $A$ -colored (at least one) and transparent symbols:  **$A$ -colored**
- ▶  $B$ -colored (at least one) and transparent symbols:  **$B$ -colored**
- ▶ Otherwise:  **$AB$ -mixed**

# Interpolation system

- ▶  $A$  and  $B$  sets of clauses
- ▶ Given: a refutation of  $A \cup B$
- ▶ **Interpolation system**: extracts interpolant of  $(A, B)$
- ▶ How? Computing a **partial interpolant**  $PI(C)$  for each clause  $C$  in refutation
- ▶ Defined in such a way that  $PI(\square)$  is interpolant of  $(A, B)$



# Partial interpolant

- ▶ Clause  $C$  in refutation of  $A \cup B$
- ▶  $A \wedge B \vdash C$
- ▶  $A \wedge B \vdash C \vee \bar{C}$
- ▶  $A \wedge \bar{C} \vdash \bar{B} \vee C$
- ▶ Interpolant of  $A \wedge \bar{C}$  and  $\bar{B} \vee C$
- ▶ Reverse interpolant of  $A \wedge \bar{C}$  and  $B \wedge \bar{C}$
- ▶ The signatures of  $A \wedge \bar{C}$  and  $B \wedge \bar{C}$  are not necessarily those of  $A$  and  $B$  unless  $C$  is transparent
- ▶ Use **projections**

# Symmetric projections

$C$ : disjunction (conjunction) of literals

- ▶  $C|_A$ :  $A$ -colored and transparent literals
- ▶  $C|_B$ :  $B$ -colored and transparent literals
- ▶  $C|_{A,B}$ : transparent literals
- ▶  $\perp$  ( $\top$ ) if empty

If  $C$  has no  $AB$ -mixed literals:  $C = C|_A \vee C|_B$

# Asymmetric projections

$C$ : disjunction (conjunction) of literals

- ▶  $C \setminus_B = C|_A \setminus C|_{A,B}$  ( $A$ -colored only)
- ▶  $C \downarrow_B = C|_B$  (transparent go with  $B$ -colored)

If  $C$  has no  $AB$ -mixed literals:  $C = C \setminus_B \vee C \downarrow_B$

# Partial interpolant

- ▶ Clause  $C$  in refutation of  $A \cup B$
- ▶ **Partial interpolant**  $PI(C)$ : interpolant of  $A \wedge \neg(C|_A)$  and  $B \wedge \neg(C|_B)$
- ▶ If  $C$  is  $\square$ :  $PI(C)$  interpolant of  $(A, B)$
- ▶ Requirements:
  - ▶  $A \wedge \neg(C|_A) \vdash PI(C)$
  - ▶  $B \wedge \neg(C|_B) \wedge PI(C) \vdash \perp$
  - ▶  $PI(C)$  transparent
- ▶ Or as above with asymmetric projections

# Complete interpolation system

An interpolation system is **complete** for an inference system if

- ▶ For all sets of clauses  $A$  and  $B$  such that  $A \cup B$  is unsatisfiable
- ▶ For all refutations of  $A \cup B$  by the inference system

It generates **an** interpolant of  $(A, B)$

There may be more than one

# Inductive approach to interpolation

- ▶ The interpolation system is defined **inductively**
- ▶ By defining the partial interpolant of the consequence given the partial interpolants of the premises
- ▶ For all **generative** inference rules (e.g., superposition, simplification, not subsumption)
- ▶ Prove **complete**:  
show that its partial interpolants are indeed such

# Interpolation for propositional resolution

- ▶ DPLL-CDCL
- ▶ Inference system  $\Gamma$  with resolution, superposition, simplification, subsumption ...
- ▶ If given a problem in propositional logic
- ▶ Both generate proof by resolution

# Propositional interpolation systems

- ▶ Literals in proof are input literals
- ▶ Input literals are either  $A$ -colored or  $B$ -colored or transparent
- ▶ No  $AB$ -mixed literals



## The HKPYM interpolation system

$C$  clause in refutation of  $A \cup B$  by propositional resolution:

- ▶  $C \in A$ :  $PI(C) = \perp$
- ▶  $C \in B$ :  $PI(C) = \top$
- ▶  $C \vee D$  propositional resolvent of  $p_1: C \vee L$  and  $p_2: D \vee \neg L$ :
  - ▶  $L$  **A-colored**:  $PI(C \vee D) = PI(p_1) \vee PI(p_2)$
  - ▶  $L$  **B-colored**:  $PI(C \vee D) = PI(p_1) \wedge PI(p_2)$
  - ▶  $L$  **transparent**:  $PI(C \vee D) = (L \vee PI(p_1)) \wedge (\neg L \vee PI(p_2))$

Symmetric projections

[Huang 1995] [Krajíček 1997] [Pudlák 1997] [Yorsh, Musuvathi 2005]

## Example with HKPYM

$$A = \{a \vee e, \neg a \vee b, \neg a \vee c\} \quad B = \{\neg b \vee \neg c \vee d, \neg d, \neg e\}$$

1.  $a \vee e$  [ $\perp$ ] resolves with  $\neg e$  [ $\top$ ] to yield  $a$  [ $e$ ]:  
 $PI(a) = (e \vee \perp) \wedge (\neg e \vee \top) = e$
2.  $a$  [ $e$ ] resolves with  $\neg a \vee c$  [ $\perp$ ] to yield  $c$  [ $e$ ]:  $PI(c) = e \vee \perp = e$
3.  $a$  [ $e$ ] resolves with  $\neg a \vee b$  [ $\perp$ ] to yield  $b$  [ $e$ ]:  $PI(b) = e \vee \perp = e$
4.  $b$  [ $e$ ] resolves with  $\neg b \vee \neg c \vee d$  [ $\top$ ] to yield  $\neg c \vee d$  [ $b \vee e$ ]:  
 $PI(\neg c \vee d) = (b \vee e) \wedge (\neg b \vee \top) = b \vee e$
5.  $c$  [ $e$ ] resolves with  $\neg c \vee d$  [ $b \vee e$ ] to yield  $d$  [ $e \vee (c \wedge b)$ ]:  
 $PI(d) = (c \vee e) \wedge (\neg c \vee b \vee e) = e \vee (c \wedge b)$
6.  $d$  [ $e \vee (c \wedge b)$ ] resolves with  $\neg d$  [ $\top$ ] to yield  $\square$  [ $e \vee (c \wedge b)$ ]:  
 $PI(\square) = (e \vee (c \wedge b)) \wedge \top = e \vee (c \wedge b)$

# The MM interpolation system

$C$  clause in refutation of  $A \cup B$  by propositional resolution:

- ▶  $C \in A$ :  $PI(C) = C|_{A,B}$
- ▶  $C \in B$ :  $PI(C) = \top$
- ▶  $C \vee D$  propositional resolvent of  $p_1: C \vee L$  and  $p_2: D \vee \neg L$ :
  - ▶  $L$  **A-colored**:  $PI(C \vee D) = PI(p_1) \vee PI(p_2)$
  - ▶  $L$  **B-colored** or **transparent**:  $PI(C \vee D) = PI(p_1) \wedge PI(p_2)$

Asymmetric projections

[McMillan 2003]

## Example with MM

$$A = \{a \vee e, \neg a \vee b, \neg a \vee c\} \quad B = \{\neg b \vee \neg c \vee d, \neg d, \neg e\}$$

1.  $a \vee e$  [e] resolves with  $\neg e$  [T] to yield  $a$  [e]:  $PI(a) = e \wedge \top = e$
2.  $a$  [e] resolves with  $\neg a \vee c$  [c] to yield  $c$  [e  $\vee$  c]:  $PI(c) = e \vee c$
3.  $a$  [e] resolves with  $\neg a \vee b$  [b] to yield  $b$  [e  $\vee$  b]:  $PI(b) = e \vee b$
4.  $b$  [e  $\vee$  b] resolves with  $\neg b \vee \neg c \vee d$  [T] to yield  $\neg c \vee d$  [e  $\vee$  b]:  
 $PI(\neg c \vee d) = (e \vee b) \wedge \top = e \vee b$
5.  $c$  [e  $\vee$  c] resolves with  $\neg c \vee d$  [e  $\vee$  b] to yield  $d$  [e  $\vee$  (c  $\wedge$  b)]:  
 $PI(d) = (e \vee c) \wedge (e \vee b) = e \vee (c \wedge b)$
6.  $d$  [e  $\vee$  (c  $\wedge$  b)] resolves with  $\neg d$  [T] to yield  $\square$  [e  $\vee$  (c  $\wedge$  b)]:  
 $PI(\square) = (e \vee (c \wedge b)) \wedge \top = e \vee (c \wedge b)$

# Comparison of HKPYM and MM

- ▶ In this example the final interpolant is the same, although at each step the HKPYM partial interpolant implies the MM partial interpolant
- ▶ In general: MM interpolants imply HKPYM interpolants [D'Silva, Kroening, Purandare, Weissenbacher 2010]
- ▶ But there is no general result as to whether weaker or stronger is preferable

## Equality changes the picture ...

- ▶ Propositional logic: no  $AB$ -mixed literals and colors are **stable**
- ▶ Equality: what if  **$AB$ -mixed equality**  $t_a \simeq t_b$  is derived?  
 $t_a$ :  **$A$ -colored** ground term;  $t_b$ :  **$B$ -colored** ground term
- ▶ Congruence closure:  $t_a$  and  $t_b$  representatives of singly-colored classes: merge: one of them should become transparent
- ▶ Rewriting:  $t_a$  and  $t_b$  in normal form,  $t_a \succ t_b$ :  
rewrite  $t_a$  as  $t_b$ ;  $t_b$  should become transparent
- ▶  **$A$ -colored**/ **$B$ -colored**/**transparent** cannot change dynamically!

# Equality-interpolating theory

- ▶  $\mathcal{T}$ : convex theory
- ▶  $(A, B)$ : there exist **transparent** ground terms
- ▶ If  $A \wedge B \models_{\mathcal{T}} t_a \simeq t_b$   
 $t_a$ : **A-colored** ground term and  $t_b$ : **B-colored** ground term
- ▶ Then  $A \wedge B \models_{\mathcal{T}} t_a \simeq t \wedge t_b \simeq t$  for some **transparent** ground term  $t$  called **equality-interpolating term**

Congruence closure:  $t$  representative of the new congruence class

[Yorsh, Musuvathi 2005]

# Separating ordering

Ordering  $\succ$  on terms and literals:

**separating** if  $s \succ r$  whenever  $r$  is **transparent** and  $s$  is not

Rewriting:  $t_a$  and  $t_b$  rewritten to  $t$

[McMillan 2008], [Kovács, Voronkov 2009]



# Separating implies no $AB$ -mixed literals

- ▶  $\Gamma$ : inference system with resolution, superposition, simplification, subsumption ...
- ▶ Lemma: If the ordering  $\succ$  is separating, ground  $\Gamma$ -refutations contain **no  $AB$ -mixed literals**
  - ▶  $s \simeq r$  and  $l[s]$  not  $AB$ -mixed, and  $s \succ r$
  - ▶ either  $s$  and  $r$  same color or  $r$  transparent
  - ▶  $l[r]$  not  $AB$ -mixed

# EUF is equality-interpolating

- ▶ Theorem: The quantifier-free fragment of the theory of equality is equality-interpolating
  - ▶  $\Gamma$  with  $\succ$  separating ordering
  - ▶  $(A, B)$ : there exist **transparent** ground terms
  - ▶ If  $A \wedge B \models t_a \simeq t_b$
  - ▶  $A \cup B \cup \{t_a \not\approx t_b\} \vdash_{\Gamma} \perp$  by refutational completeness of  $\Gamma$
  - ▶ **No  $AB$ -mixed equalities** as  $\succ$  is separating
  - ▶ Valley proof  $t_a \xrightarrow{*} t \xleftarrow{*} t_b$  contains at least a **transparent** term
  - ▶  $t$  must be **transparent**

## Other convex equality-interpolating theories

- ▶ Non-empty lists
- ▶ Linear rational arithmetic:
  - ▶  $A \wedge B \supset a \simeq b$
  - ▶  $A \wedge B \supset a \leq b \wedge b \leq a$
  - ▶  $\exists t_1$  such that  $A \wedge B \supset a \leq t_1 \leq b$
  - ▶  $\exists t_2$  such that  $A \wedge B \supset b \leq t_2 \leq a$
  - ▶  $A \wedge B \supset a \simeq t_1 \simeq t_2 \simeq b$

[Yorsh, Musuvathi 2005]

## Equality sharing aka Nelson-Oppen method

$\mathcal{T}$ -satisfiability procedure for  $\mathcal{T} = \bigcup_{i=1}^n \mathcal{T}_i$

- ▶ Disjoint, convex, equality-interpolating theories
- ▶ Equipped with  $\mathcal{T}_i$ -satisfiability procedure  $Q_i$  that generate equality-interpolating terms, proofs, and  $\mathcal{T}_i$ -interpolants
- ▶  $S$  input set of ground  $\mathcal{T}$ -literals
- ▶ Partition  $S = A \cup B$  and separation  $S_1, \dots, S_n$  are orthogonal: new free constants inherit the color of the term they replace, since there are no  $AB$ -mixed input terms

## Interpolation in equality sharing

- ▶ Each  $Q_i$  takes as input  $S_i = A_i \cup B_i$  and deals with  $A_i \cup B_i \cup K$  where  $K$  contains the propagated equalities
- ▶ Equality-interpolating:  $K$  contains **no  $AB$ -mixed equalities**
- ▶ The proof by equality sharing contains **no  $AB$ -mixed literals**
- ▶ What is the partial interpolant for a propagated equality?
- ▶ **Theory-specific partial interpolant**

## Theory-specific partial interpolant

- ▶ Propagated literal:  $A_i \cup B_i \cup K \vdash_{\mathcal{T}_i} L$   
where  $L$  is either an equality or  $\square$
- ▶ Interpolation wrt partition  $(A', B')$  of  $A_i \cup B_i \cup K$   
 $A' = A_i \cup K \setminus_B$   
 $B' = B_i \cup K \downarrow_B$
- ▶  $PI_{(A', B')}^i(L)$  is the  $\mathcal{T}_i$ -interpolant of  
 $(A' \wedge \neg(L \setminus_B), B' \wedge \neg(L \downarrow_B))$

[Yorsh, Musuvathi 2005]

## The YM interpolation system

$C$  unit clause in refutation of  $A \cup B$  by equality sharing

▶  $C \in A$ :  $PI(C) = \perp$                        $C \in B$ :  $PI(C) = \top$

▶  $C$  derived as  $A_i \cup B_i \cup K \vdash_{\mathcal{T}_i} C$ :

$$PI(C) = (PI_{(A',B')}^i(C) \vee \bigvee_{L \in A'} PI(L)) \wedge \bigwedge_{L \in B'} PI(L)$$

If  $K = \emptyset$  (only one theory or  $C$  does not depend on propagated equalities):  $PI(C) = PI_{(A',B')}^i(C)$

## Example in theory combination

$$A = \{f(x_1) + x_2 \simeq x_3, \quad f(y_1) + y_2 \simeq y_3, \quad y_1 \leq x_1\}$$

$$B = \{x_2 \simeq g(b), \quad y_2 \simeq g(b), \quad x_1 \leq y_1, \quad x_3 < y_3\}$$

Let EUF be  $\mathcal{T}_1$  with procedure  $Q_1$  and  
LRA be  $\mathcal{T}_2$  with procedure  $Q_2$

[Yorsh, Musuvathi 2005]



## Example after separation

$$A_1 = \{a_1 \simeq f(x_1), \quad a_2 \simeq f(y_1)\}$$

$$A_2 = \{a_1 + x_2 \simeq x_3, \quad a_2 + y_2 \simeq y_3, \quad y_1 \leq x_1\}$$

$$B_1 = \{x_2 \simeq g(b), \quad y_2 \simeq g(b)\}$$

$$B_2 = \{x_1 \leq y_1, \quad x_3 < y_3\}$$

Shared constants:  $V = \{a_1, x_1, a_2, y_1, x_2, y_2\}$

$\{f, a_1, a_2\}$  are **A-colored**

$\{g, b\}$  are **B-colored**

$\{x_1, y_1, x_2, y_2, x_3, y_3\}$  are **transparent**

## Example: first proof step

- ▶  $Q_2$  deduces  $x_1 \simeq y_1$  from  $y_1 \leq x_1 [\perp]$  and  $x_1 \leq y_1 [\top]$
- ▶  $x_1, y_1 \in V$ :  $x_1 \simeq y_1$  is **propagated**
- ▶  $A' = A_2$  and  $B' = B_2$  since  $K = \emptyset$
- ▶  $A' \wedge \neg((x_1 \simeq y_1) \setminus_B) = A_2 \wedge \top = A_2$   
 $B' \wedge \neg((x_1 \simeq y_1) \downarrow_B) = B_2 \cup \{x_1 \neq y_1\}$
- ▶  $PI_{(A', B')}^2(x_1 \simeq y_1) = y_1 \leq x_1$   
 which follows from  $y_1 \leq x_1 \in A_2$  and is  $\mathcal{T}_2$ -inconsistent with  
 $\{x_1 \leq y_1, x_1 \neq y_1\}$  where  $x_1 \leq y_1 \in B_2$
- ▶  $PI(x_1 \simeq y_1) = y_1 \leq x_1$

## Example: second proof step

- ▶  $Q_1$  deduces  $a_1 \simeq a_2$  from  $a_1 \simeq f(x_1) [\perp]$ ,  $a_2 \simeq f(y_1) [\perp]$  and  $x_1 \simeq y_1 [y_1 \leq x_1]$
- ▶  $a_1, a_2 \in V$ :  $a_1 \simeq a_2$  is **propagated**
- ▶  $A' = A_1$  and  $B' = B_1 \cup \{x_1 \simeq y_1\}$  since  $K = \{x_1 \simeq y_1\}$
- ▶  $A' \wedge \neg((a_1 \simeq a_2) \setminus_B) = A_1 \cup \{a_1 \not\simeq a_2\}$   
 $B' \wedge \neg((a_1 \simeq a_2) \downarrow_B) = B_1 \cup \{x_1 \simeq y_1\}$
- ▶  $PI_{(A', B')}^1(a_1 \simeq a_2) = x_1 \not\simeq y_1$   
 which follows from  $\{a_1 \simeq f(x_1), a_2 \simeq f(y_1), a_1 \not\simeq a_2\}$  and is inconsistent with  $\{x_1 \simeq y_1\}$
- ▶  $PI(a_1 \simeq a_2) = (x_1 \not\simeq y_1 \vee \perp) \wedge y_1 \leq x_1 = y_1 < x_1$

## Example: third proof step

- ▶  $Q_1$  deduces  $x_2 \simeq y_2$  from  $x_2 \simeq g(b)$  [T] and  $y_2 \simeq g(b)$  [T]
- ▶  $x_2, y_2 \in V$ :  $x_2 \simeq y_2$  is **propagated**
- ▶  $A' = A_1$  and  $B' = B_1$  since  $K = \emptyset$
- ▶  $A' \wedge \neg((x_2 \simeq y_2) \setminus_B) = A_1 \wedge \top = A_1$   
 $B' \wedge \neg((x_2 \simeq y_2) \downarrow_B) = B_1 \cup \{x_2 \not\simeq y_2\}$
- ▶  $PI_{(A', B')}^1(x_2 \simeq y_2) = \top$   
because  $B_1 \cup \{x_2 \not\simeq y_2\}$  is  $\mathcal{T}_1$ -inconsistent
- ▶  $PI(x_2 \simeq y_2) = \top$

## Example: fourth proof step

- ▶  $Q_2$  deduces  $\square$  from  $a_1 + x_2 \simeq x_3 [\perp]$ ,  $a_2 + y_2 \simeq y_3 [\perp]$ ,  $x_3 < y_3 [\top]$ ,  $a_1 \simeq a_2 [y_1 < x_1]$  and  $x_2 \simeq y_2 [\top]$
- ▶  $A' = A_2 \cup \{a_1 \simeq a_2\}$  and  $B' = B_2 \cup \{x_2 \simeq y_2\}$  as  $K = \{a_1 \simeq a_2, x_2 \simeq y_2\}$
- ▶  $A' \wedge \neg((\square) \setminus_B) = A_2 \cup \{a_1 \simeq a_2\} \wedge \top = A_2 \cup \{a_1 \simeq a_2\}$   
 $B' \wedge \neg((\square) \downarrow_B) = B_2 \cup \{x_2 \simeq y_2\} \wedge \top = B_2 \cup \{x_2 \simeq y_2\}$
- ▶  $PI_{(A',B')}^2(\square) = x_3 - x_2 \simeq y_3 - y_2$   
 because  $\{a_1 + x_2 \simeq x_3, a_2 + y_2 \simeq y_3, a_1 \simeq a_2\}$  entail  $x_3 - x_2 \simeq y_3 - y_2$  which is  $\mathcal{T}_2$ -inconsistent with  $\{x_3 < y_3, x_2 \simeq y_2\}$  where  $x_3 < y_3 \in B_2$
- ▶  $PI(\square) = (x_3 - x_2 \simeq y_3 - y_2 \vee y_1 < x_1) \wedge \top = x_3 - x_2 \simeq y_3 - y_2 \vee y_1 < x_1$

## Interpolation in DPLL( $\mathcal{T}$ )

- ▶  $A \cup B$  set of ground  $\mathcal{T}$ -clauses
- ▶ DPLL( $\mathcal{T}$ )-refutation of  $A \cup B$ : propositional resolution +  $\mathcal{T}$ -lemmas ( $\mathcal{T}$ -conflict clauses are  $\mathcal{T}$ -lemmas)
- ▶ If clause  $C$  is a  $\mathcal{T}$ -lemma,  $\neg C$  is a  $\mathcal{T}$ -unsatisfiable set of ground  $\mathcal{T}$ -literals
- ▶ **No  $AB$ -mixed literals:**  $\neg C = (\neg C) \setminus_B \wedge (\neg C) \downarrow_B$
- ▶ The  $\mathcal{T}$ -interpolant of  $((\neg C) \setminus_B, (\neg C) \downarrow_B)$  computed by YM provides partial interpolant of  $C$  in DPLL( $\mathcal{T}$ )-refutation

## HKPYM–T and MM–T interpolation systems

Add one case to either HKPYM or MM:

- ▶  $C$  is a  $\mathcal{T}$ -lemma:  
 $PI(C)$  is  $\mathcal{T}$ -interpolant of  $((\neg C) \setminus_B, (\neg C) \downarrow_B)$  extracted by  
YM from  $\neg C \vdash_{\mathcal{T}} \perp$

Completeness: from that of HKPYM or MM and YM

[Yorsh and Musuvathi 2005]

## Why interpolation for superposition?

- ▶ Superposition-based decision procedures
- ▶  $\text{DPLL}(\Gamma + \mathcal{T})$ :  $\text{DPLL}(\mathcal{T})$  with superposition ( $\Gamma$ ) integrated for a fully automated treatment of quantifiers



# Interpolation system $G\Gamma$

$C$  clause in ground  $\Gamma$ -refutation of  $A \cup B$ :

- ▶ Base cases and resolution: same as in HKPYM
- ▶  $c: C \vee I[r] \vee D$  generated from  $p_1: C \vee s \simeq r$  and  $p_2: I[s] \vee D$ 
  - ▶  $s \simeq r$  **A-colored**:  $PI(c) = PI(p_1) \vee PI(p_2)$
  - ▶  $s \simeq r$  **B-colored**:  $PI(c) = PI(p_1) \wedge PI(p_2)$
  - ▶  $s \simeq r$  **transparent**:  $PI(c) = (s \simeq r \vee PI(p_1)) \wedge (s \not\simeq r \vee PI(p_2))$
- ▶ Superposition into equational literal and Simplification: same

## Example with superposition

$$A = \{P(c), \neg P(e)\} \quad B = \{c \simeq e\} \quad c \succ e$$

$P$  is  $A$ -colored,  $c$  and  $e$  are transparent

1.  $c \simeq e \ [\top]$  simplifies  $P(c) \ [\perp]$  into  $P(e) \ [c \not\simeq e]$   
 $PI(P(e)) = (c \simeq e \vee \top) \wedge (c \not\simeq e \vee \perp) = c \not\simeq e$
2.  $\neg P(e) \ [\perp]$  resolves with  $P(e) \ [c \not\simeq e]$  to yield  $\square \ [c \not\simeq e]$   
 $PI(\square) = \perp \vee c \not\simeq e = c \not\simeq e$

## Another example with superposition

$$A = \{Q(f(a)), f(a) \simeq c\} \quad B = \{\neg Q(f(b)), f(b) \simeq c\}$$

$a$  is  $A$ -colored,  $b$  is  $B$ -colored, all other symbols are transparent

1.  $f(a) \simeq c$  [ $\perp$ ] simplifies  $Q(f(a))$  [ $\perp$ ] into  $Q(c)$  [ $\perp$ ]  
 where  $f(a) \succ c$  in any separating ordering  
 $PI(Q(c)) = \perp \vee \perp = \perp$
2.  $f(b) \simeq c$  [ $\top$ ] simplifies  $\neg Q(f(b))$  [ $\top$ ] into  $\neg Q(c)$  [ $\top$ ]  
 where  $f(b) \succ c$  in any separating ordering  
 $PI(\neg Q(c)) = \top \wedge \top = \top$
3.  $Q(c)$  [ $\perp$ ] resolves with  $\neg Q(c)$  [ $\top$ ] to yield  $\square$  [ $Q(c)$ ]  
 $PI(\square) = (Q(c) \vee \perp) \wedge (\neg Q(c) \vee \top) = Q(c)$

# Completeness

- ▶ Theorem: If the ordering is separating, GFI is a **complete** interpolation system for ground  $\Gamma$ -refutations
- ▶ The proof shows that the partial interpolants built by GFI satisfy the requirements for partial interpolants.

# Summary

- ▶ Survey of interpolation systems for ground refutations:
  - ▶ Unified framework of definitions for interpolation
  - ▶ Interpolation systems for propositional resolution
  - ▶ Interpolation and equality: connecting **equality-interpolating theory** and **separating ordering**
  - ▶ Interpolation system for equality sharing
  - ▶ Interpolation systems for DPLL( $\mathcal{T}$ )
- ▶ A **complete** interpolation system for ground refutations by superposition

## References

- ▶ Maria Paola Bonacina and Moe Johansson. Interpolation systems for ground proofs in automated deduction: a survey. *Journal of Automated Reasoning*, 54(4):353-390, 2015 [providing 89 references]
- ▶ Maria Paola Bonacina and Moe Johansson. Towards interpolation in an SMT solver with integrated superposition. 9th SMT Workshop, Snowbird, Utah, USA, July 2011; TR UCB/EECS-2011-80, 9-18, 2011
- ▶ Maria Paola Bonacina and Moe Johansson. On interpolation in decision procedures. In *Proc. of the 20th TABLEAUX Conference*, Bern, Switzerland, July 2011; Springer, LNAI 6793, 1-16, 2011

## Discussion

- ▶ Generality: interpolants for more logics, theories, inference systems
- ▶ Quality: better interpolants; stronger? weaker? shorter?
- ▶ Non-ground proofs, non-convex theories?

Two-stage approach:

Maria Paola Bonacina and Moa Johansson. On interpolation in automated theorem proving. *Journal of Automated Reasoning*, 54(1):69-97, 2015