#### High-performance deduction for verification: Synthetic benchmarks in the theory of arrays

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# Motivation

SW verification/debugging requires reasoning with theories of data types, e.g., integer, real, arrays, lists, sets.

Problem: infinite domains.

Approach: model checking with theorem proving support,
e.g., abstract-check-refine paradigm
[Blast : Henzinger et al. POPL 2002]
[SLAM: Ball, Rajamani POPL 2002]
[Simplify: Nelson et al. 1996]

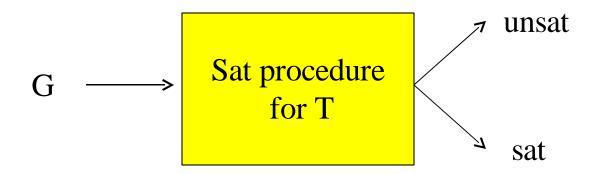
# Objective

Offer better theorem proving support to (model checking for) software analysis :

Better trade-off's between termination and efficiency on one hand, and correctness, completeness and expressive power on the other

# Satisfiability procedure

- $T \ :$  background theory ( e.g., theory of arrays )
- G : conjunction ( set ) of ground literals



Replace G by any quantifier-free formula : decision procedure

# Common approach

- Design
- prove sound and complete
- and implement

a satisfiability procedure for each decidable theory of interest.

Basic ingredients:

- Defined symbols ( in T ) and free symbols
- Congruence closure (to handle equality and free symbols)
- Build axioms of T into congruence closure algorithm

# Examples

Theory of lists : congruence closure with axioms built-in [Nelson, Oppen 1980]

Theory of arrays :

congruence closure with pre-processing wrt. axioms and partial equations (i.e., equalities that say that two arrays are equal except at certain indices) [Stump et al., LICS 2001]

#### Issues

- Combination of theories/procedures
- Completeness proofs
- Implementation

#### Combination

Most problems involve multiple theories: combination of theories / procedures

Two congruence-closure based approaches: [ Nelson, Oppen 1979] [ Shostak 1984] that generated much scholarship: [ Cyrluk, Lincoln, Shankar CADE 1996 ] [ Harandi, Tinelli 1998] [ Kapur RTA 2000] [ Ruess, Shankar LICS 2001 ] [ Barrett et al. FroCoS 2002 ] [ Ganzinger CADE 2002]

# Completeness proofs

Each new decision procedure needs its own proofs of soundness and completeness:

Proofs for concrete procedures : complicated, ad hoc [Shankar, Ruess LICS 2001 ]
[Stump et al. LICS 2001]

Abstract frameworks : clarity, but gap wrt concrete procedures
[Bjorner Phd thesis 1998]
[Tiwari Phd thesis 2000]
[Bachmair, Tiwari, Vigneron JAR 2002]
[Ganzinger CADE 2002]

# Implementation

Implement from scratch data structures and algorithms for each procedure in each context (e.g., verification tool or proof assistant ):

- Correctness of implementation?
- Flexibility ?
- SW reuse?

# Outline

• A deduction-based approach to address these issues

- Theory of arrays : synthetic benchmarks
- Experimental results
- Discussion

#### Relation to deduction

Although satisfiability procedures may not be presented/perceived as deduction proper, they are built out of deduction:

Congruence closure, normalization, canonical forms, reasoning modulo a theory, T- unification ...

Could deduction help?

# Theorem proving could help:

- Combination of theories: give union of the axiomatizations in input to the prover
- No need of ad hoc proofs for each procedure
- Reuse code of existing provers

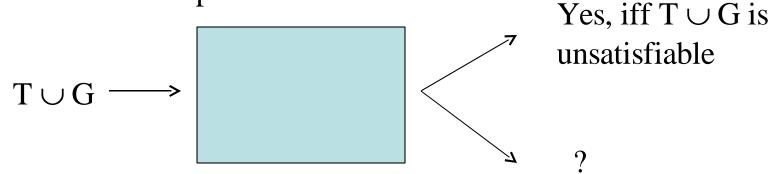
#### Termination ?

 $C = \langle I, \Sigma \rangle$ : theorem-proving strategy

I : refutationally complete inference system with superposition/ paramodulation, simplification, subsumption ...

 $\Sigma$ : fair search plan

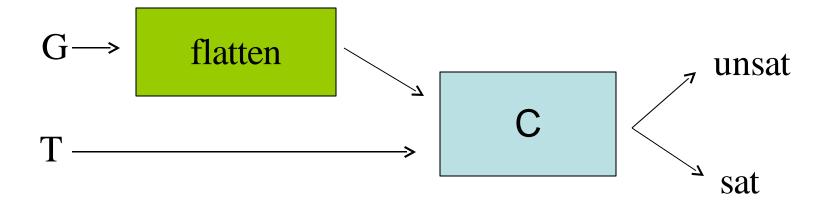
is a semi-decision procedure:



#### **Termination results**

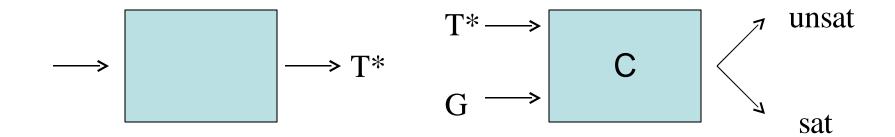
[Armando, Ranise, Rusinowitch, CSL 2001]

T: theory of arrays, lists, sets and combinations thereof



Arbitrary quantifier-free formulae : [Ranise UNIF 2002]

#### Another way to put it



Pure equational: T\* canonical rewrite system

Horn equational: T\* saturated ground-preserving [Kounalis & Rusinowitch, CADE 1988]

FO special theories: e.g.,  $T = T^*$  for arrays [ARR, CSL 2001]

# How about efficiency ?

A satisfiability procedure with T built-in is expected to be always much faster than a theorem prover with T in input !

Totally obvious ? Or worth investigating ?

- theory of arrays
- synthetic benchmarks (allow to assess scalability by experimental asymptotic analysis)
- comparison of E prover and CVC validity checker with theory of arrays built-in

### Theory of arrays: the signature

store :  $\operatorname{array} \times \operatorname{index} \times \operatorname{element} \longrightarrow \operatorname{array}$ 

select : array × index — element

# The presentation $(T_1)$

(1)  $\forall A, I, E$ . select (store (A, I, E), I) = E

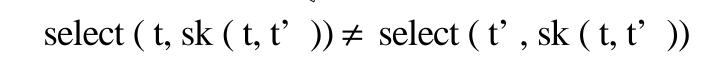
(2) 
$$\forall A, I, J, E. I \neq J \implies$$
  
select (store (A, I, E), J) = select (A, J)

(3) Extensionality:  $\forall A, B$ .  $\forall I. \text{ select } (A, I) = \text{ select } (B, I)$  $\Rightarrow$ A = B

#### Pre-processing extensionality

select (A, sk (A, B))  $\neq$  select (B, sk (A, B))  $\vee$  A = B

t≠t'



#### Another presentation ( $T_2$ )

Keep (1) and (2) and replace extensionality (3) by:

(4)  $\forall A, I.$  store (A, I, select (A, I)) = A

(5)  $\forall$ A, I, E, F. store (store (A, I, E), I, F) = store (A, I, F)

(6)  $\forall A, I, J, E. I \neq J \Rightarrow$ store (store (A, I, E), J, F) = store (store (A, J, F), I, E)

T1 entails (4) (5) (6)

# Usage of presentations

- T₁ is saturated and application of C to
  T₁ ∪ G is guaranteed to terminate [ARR2001]:
  C acts as decision procedure
- T2 is not saturated (saturation does not halt):
  C applied to T2 ∪ G acts as semi-decision procedure

# Synthetic benchmarks

• storecomm(N):

Storing values at distinct places in an array is "commutative"

• swap(N):

Swapping pairs of elements in an array in two different orders yields the same array

#### storecomm(N) : definition

k1..kN : N indicesD : set of 2-combinations over { 1 ...N }Indices must be distinct:

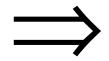
$$\bigwedge (p,q) \in D \quad kp \neq kq$$
  
i1...iN, j1...jN : two distinct permutations of 1...N

store (... ( store ( a, ki1, ei1 ), ... kin, ein ) ...)

store (... ( store ( a, kj1, ej1 ), ... kjN, ejN ) ...)

#### storecomm(N) : schema

$$\bigwedge(\mathbf{p},\mathbf{q})\in \mathbf{D}\quad \mathbf{kp}\neq \mathbf{kq}$$



store (...( store ( a, ki1, ei1 ), ...kin, ein ) ...)
=
store (...( store ( a, kj1, ej1 ), ...kjn, ejn ) ...)

# swap(N) : definition

Recursively:

Base case: N = 2 elements:

L2 = store (store (a, i1, select (a, i0)), i0, select (a, i1))

R2 = store (store (a, i0, select (a, i1)), i1, select (a, i0))

$$L2 = R2$$

Recursive case: N = k+2 elements:

 $L_{k+2} = \text{store} (\text{store} (L_k, i_{k+1}, \text{select} (L_k, i_k)), i_k, \text{select} (L_k, i_{k+1}))$  $R_{k+2} = \text{store} (\text{store} (R_k, i_k, \text{select} (R_k, i_{k+1})), i_{k+1}, \text{select} (R_k, i_k))$ 

$$Lk+2 = Rk+2$$

# Experiments

- Two tools: CVC validity checker and E theorem prover
- E: auto mode and user-selected strategy
- Comparison of asymptotic behavior of E and CVC as N grows

#### The CVC validity checker

[Aaron Stump, David L. Dill et al., Stanford U.]

Combines procedures à la Nelson-Oppen (e.g., lists, arrays, records, real arithmetics ..)

Incorporates **SAT solver** (first GRASP then Chaff) to handle arbitrary quantifier-free formulae

Theory of arrays: congruence closure based algorithm [Stump et al., LICS 2001]

### The E theorem prover

[Stephan Schulz, TU-München]

Inference system I : o-superposition/paramodulation, reflection, o-factoring, simplification, subsumption

#### Search plans $\Sigma$ :

- given-clause loop with clause selection functions and only already-selected list inter-reduced
- term orderings: KBO and LPO
- literal selection functions

# Strategies in experiments

- E-auto: automatic mode
- E-SOS: { problem in form T ∪ G }
   Clause selection: (SimulateSOS,RefinedWeight)
   Term ordering: LPO
- Precedence: select > store > sk > constants

# First set of experiments on storecomm(N)

E takes presentation  $T_1$  in input

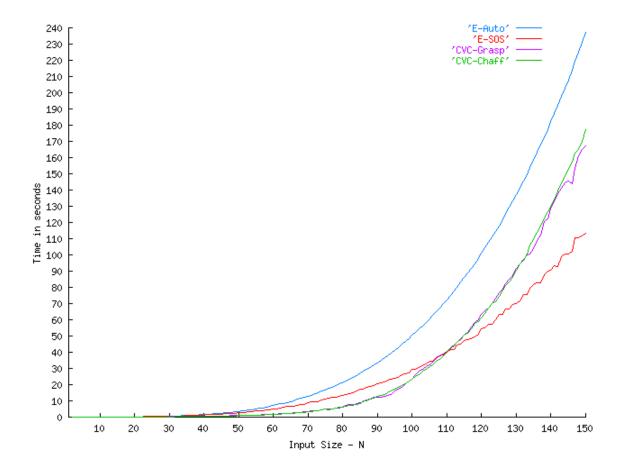
N ranges from 2 to 150

Sample 10 permutations: 45 instances for each value of N Non-uniform sampling (favors permutations with local changes)

Performance for N is average over all generated instances for value N

Versions : E 0.62 CVC/GRASP Fall 2001, CVC/CHAFF January 2002

#### First set : storecomm(N)



# Second set of experiments on storecomm(N)

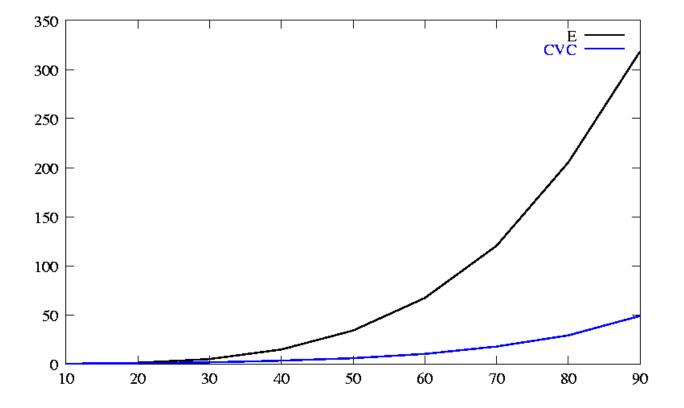
E takes presentation  $T_1$  in input

N ranges from 2 to 90 For each value of N pick one instance at random : no averages

Only E-auto, E-SOS did not help

Versions : E 0.62 CVC/CHAFF October 2002

#### Second set : storecomm(N)



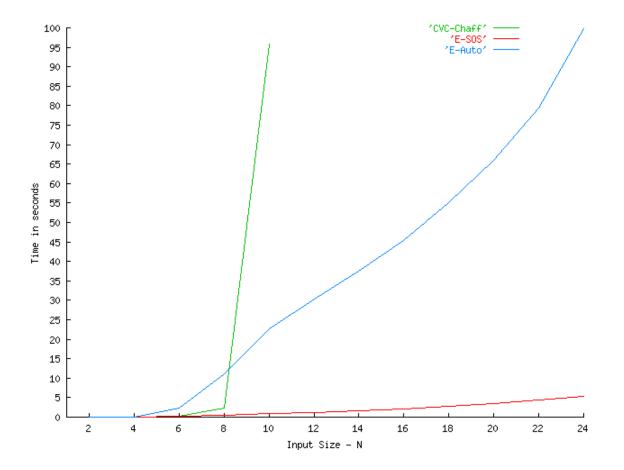
# First set of experiments on swap (N)

Sample up to 16 permutations and 20 instances for each value of N Non-uniform sampling (favors permutations with local changes) Performance for N is average over all generated instances for value N

CVC: does up to N = 10, runs out of memory on any instance of swap(12) E with presentation  $T_1$ : same as above and slower E with presentation  $T_2$ : succeeds also for N  $\ge$  12

Versions : E 0.62 CVC/GRASP Fall 2001, CVC/CHAFF January 2002

#### First set : swap(N)



# Second set of experiments on swap(N)

E takes presentation  $T_1$  in input

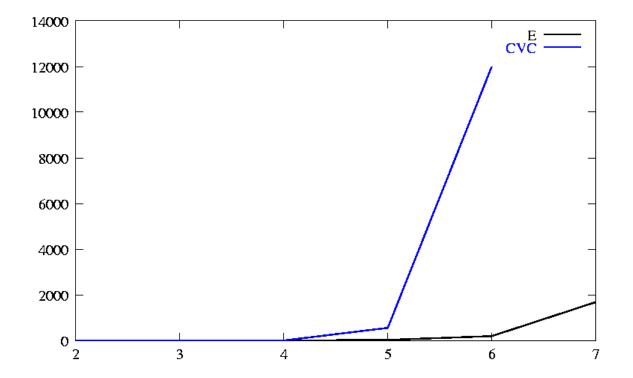
For each value of N pick one instance at random : no averages

Only E-auto, E-SOS did not help

CVC: does only up to N = 6, E goes beyond

Versions : E 0.62 CVC/CHAFF October 2002

#### Second set : swap(N)



#### Discussion

- Deduction may help build better decision procedures
- More experiments: other synthetic benchmarks, theories, combinations, real-world problems
- Other provers, e.g., with more inter-reduction?
- ATP needs more work on auto mode and search plans (search, not blind saturation)
- Termination results for other theories?
- Complexity of specific strategies / theories

# Broad picture : integration

- ATP based satisfiability procedures
- Integration with SAT : decision procedures
- Integration with automated model building: counterexample generation
- Integration within debugging tools or proof assistants